

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 53921/189

First Inventor or Application Identifier PUPPA, G.

Title System and method for transmission of operations, administration and maintenance packets between ATM and switching networks upon failures

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status. See 37 CFR127.

3.  Specification [Total Pages 27]  
(preferred arrangement set forth below)
 

- Descriptive title of the Invention
- Cross-References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 7]

5. Oath or Declaration [Total Sheets 3]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet. See CFR 1.76

**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix).

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
 

- a.  Computer Readable
- b.  Specification Sequence Listing on:
  - (i)  CD-ROM or CD-R (2 copies); or
  - (ii)  paper
- c.  Statement verifying identity of above copies

**ACCOMPANY APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 C.F.R. 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (DS)/PTO-1499  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Application must attach form PTO/SB/35 or its equivalent.

17.  Other .....  
.....

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment: or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_  
Prior Application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label 27871  
(Insert Customer No. or Attach Bar code label here) or  Correspondence address below

Name	BLAKE, CASSELS & GRAYDON LLP				
Address	Box 25, Commerce Court West 199 Bay Street				
City	Toronto	State	Ontario	Zip Code	M5L 1A9
Country	Canada	Telephone	416.863.2400		Fax 416.863.2653

Name (Print/Type)	Robert H. Nakano	Registration No. (Attorney/Agent)	46,498
Signature			Date
		Dec 4, 2001	

# FEE TRANSMITTAL

## for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$780.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	PUPPA, G.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	53921/189

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2553  
Deposit Account Name Blake, Cassels & Graydon LLP

Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	740

## 2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims		-20**=		
Independent Claims		-3**=		
Multiple Dependent				

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)

3. ADDITIONAL FEES	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
	105	130	205	65	Surcharge – late filing fee or oath	
	127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
	139	130	139	130	Non-English specification	
	147	2,520	147	2,520	For filing a request for reexamination	
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
	115	110	215	55	Extension for reply within first month	
	116	390	216	195	Extension for reply within second month	
	117	890	217	445	Extension for reply within third month	
	118	1,390	218	695	Extension for reply within fourth month	
	128	1,890	228	945	Extension for reply within fifth month	
	119	310	219	155	Notice of Appeal	
	120	310	220	155	Filing a brief in support of an appeal	
	121	270	221	135	Request for oral hearing	
	138	1,510	138	1,510	Petition to institute a public use proceeding	
	140	110	240	55	Petition to revive – unavoidable	
	141	1,240	241	620	Petition to revive – unintentional	
	142	1,240	242	620	Utility issue fee (or reissue)	
	143	440	243	220	Design issue fee	
	144	600	244	300	Plant issue fee	
	122	130	122	130	Petitions to the Commissioner	
	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
	126	180	126	180	Submission of Information Disclosure Stmt	
	581	40	581	40	Recording each patent assignment per property (times number of properties)	
	146	710	246	355	Filing a submission after final rejection (37 CFR §1.129(a))	
	149	710	249	355	For each additional invention to be examined (37 CFR §1.129(b))	
	179	710	279	355	Request for Continued Examination (RCE)	
	169	900	169	900	Request for expedited examination of a design application	
	Other fee (specify)					
	*Reduced by Basic Filing Fee Paid					
						SUBTOTAL (3) (\$40)

710

Complete (if applicable)

Name (Print/Type)	Robert H. Nakano	Registration No. (Attorney/Agent)	46,498	Telephone	416.863.2785
Signature				Date	Dec 14, 2001